

FORMALITIES LETTER



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UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark OfficeAddress: COMMISSIONER OF PATENT AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/598,458	06/22/2000	Alan B. Hamor	3835-4002

Morgan & Finnegan
345 Park Avenue
New York, NY 10154

Date Mailed: 08/17/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

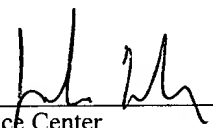
FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$2292.
 - \$1278 for 71 total claims over 20.
 - \$1014 for 13 independent claims over 3.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 3112.**

A copy of this notice MUST be returned with the reply.


Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

09598458

134503

10/16/2000 RHONDAF1 00000083

01 FC:201	355.00 CH
02 FC:205	65.00 CH
03 FC:203	639.00 CH
04 FC:202	520.00 CH

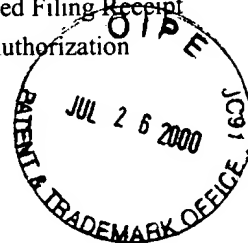
Serial No. 09/598,458
Applicant: Hamor et al.
Filing Date: 06/22/00

Docket No. 3835-4002
Atty.: PZ/at
Due Date:

The following was/ were received in the U.S. Patent and Trademark Office on the date stamped hereon:

- | | |
|-------------------------------------|-------------------------------------|
| <input type="checkbox"/> | Amendment (or Response) |
| <input type="checkbox"/> | Petition for Mo. Ext. of Time |
| <input type="checkbox"/> | Response to Restriction Requirement |
| <input type="checkbox"/> | Response to Missing Parts |
| <input checked="" type="checkbox"/> | Executed Declaration and POA |
| <input type="checkbox"/> | Priority Document(s) () |
| <input checked="" type="checkbox"/> | Assignment(s) & Cover Sheet |
| <input type="checkbox"/> | Req. to Approve Drawing Changes |
| <input type="checkbox"/> | Sheets formal drawings |

- | | |
|-------------------------------------|--------------------------------------|
| <input type="checkbox"/> | IDS & references |
| <input type="checkbox"/> | Amendment Fee Transmittal |
| <input checked="" type="checkbox"/> | Small Entity Dec. Transmittal |
| <input checked="" type="checkbox"/> | Small Entity Declaration |
| <input checked="" type="checkbox"/> | Declaration Transmittal |
| <input type="checkbox"/> | Preliminary Amendment |
| <input type="checkbox"/> | Request for corrected Filing Receipt |
| <input checked="" type="checkbox"/> | Deposit Account Authorization |
| <input type="checkbox"/> | Other: |





SECTOR

Docket No. 3835-4002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Hamor et al.

Group Art Unit: 2756

Serial No.: 09/598,458

Examiner: Unassigned

Filed: June 22, 2000

For: ADVERTISING, COMPENSATION AND SERVICE HOST APPARATUS, METHOD AND SYSTEM

RESPONSE TO "NOTICE TO FILE MISSING PARTS"

Commissioner for Patents
Washington, D.C. 20231

Sir:

In response to the NOTICE TO FILE MISSING PARTS OF APPLICATION--FILING DATE GRANTED dated August 17, 2000, Applicant(s) submit(s) herewith the following documents for appropriate action by the U.S. Patent and Trademark Office:

- ☒ Copy of Notice to File Missing Parts
- ☐ Executed Declaration
- ☐ Application Filing Fees
- ☒ Copy of Small Entity Declaration as filed on July 26, 2000.

☒ Please charge the required fee of \$1426.00 to deposit account no. 13-4503, Order No. 3835-4002. The Commissioner is hereby authorized to charge any additional fees which may be required by this paper, or credit any overpayment to Deposit Account No. 13-4503, Order No. 3835-4002. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.

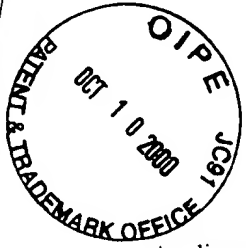
Respectfully submitted,
MORGAN & FINNEGAN, L.L.P.

Dated: Oct 10, 2000

By: 

John E. Hoel
Registration No. 26,279
(202) 857-7887 Telephone
(202) 857-7929 Facsimile

CORRESPONDENCE ADDRESS:
Morgan & Finnegan L.L.P.
345 Park Avenue
New York, New York 10154



Docket No. 3835-4002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Hamor et al.

Group Art Unit: Unassigned

Serial No.: 09/598,458

Examiner: Unassigned

Filed: June 22, 2000

For: ADVERTISING, COMPENSATION AND SERVICE HOST APPARATUS, METHOD AND SYSTEM

**STATEMENT (DECLARATION) CLAIMING SMALL ENTITY
STATUS (37 CFR § 1.9(f) and § 1.27(d)) – SMALL BUSINESS CONCERN**

ASSISTANT COMMISSIONER FOR PATENTS
Washington, D.C. 20231

Sir:

I hereby state that I am

☐ the owner of the small business concern identified below:

☒ an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF CONCERN: WK Networks, Inc.

ADDRESS OF CONCERN: 22 Meadow Lane, Pennington, NJ 08534

I hereby state that the above identified small business concern qualifies as a small business concern as defined in 13 CFR §§ 121.3-18, and reproduced in 37 CFR § 1.9(d), for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, a third party or parties controls or has the power to control both. I hereby state that exclusive rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention entitled:

**ADVERTISING, COMPENSATION AND SERVICE HOST APPARATUS, METHOD AND
SYSTEM,**

by Alan B. Hamor and Mike D. Helton

described in

- ☐ the specification filed herewith
- ☒ application Serial No. 09/598,458, filed June 22, 2000
- ☐ Patent No. _____, issued

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below* and no rights to the invention are held by any person, other than the inventor, who could not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR § 1.9(d) or a nonprofit organization under 37 CFR § 1.9(e).

NAME:

ADDRESS:

- ☐ Individual ☐ Small Business Concern ☐ Nonprofit Organization

NAME:

ADDRESS:

- ☐ Individual ☐ Small Business Concern ☐ Nonprofit Organization

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR § 1.28(b))

NAME OF PERSON SIGNING: Alan B. Hamor, CEO

TITLE OF PERSON IF OTHER THAN OWNER:

ADDRESS OF PERSON SIGNING: 22 Meadow Lane, Pennington, NJ 08534

SIGNATURE: [Signature] DATE: 4/11/00

* NOTE: Separate statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities (37 CFR § 1.27)



Docket No. 3835-4002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Hamor et a.

Group Art Unit: Unassigned

Serial No.: 09/598,458

Examiner: Unassigned

Filed: June 22, 2000

For: ADVERTISING, COMPENSATION AND SERVICE HOST APPARATUS, METHOD AND SYSTEM

**TRANSMITTAL OF SMALL ENTITY DECLARATION
PURSUANT TO 37 C.F.R. §1.9(f) and §1.27(d)**

ASSISTANT COMMISSIONER FOR PATENTS
Washington, D.C. 20231

Sir:

Transmitted herewith is a small entity declaration pursuant to 37 C.F.R. §1.9(f) and §1.27(d) for the above-identified application.

☒ The Assistant Commissioner is hereby authorized to charge any additional fees which may be required by this paper, or credit any overpayment to Deposit Account No. 13-4503. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.

Respectfully submitted,
MORGAN & FINNEGAN, L.L.P.

Dated: 7/25/2000

By: 

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